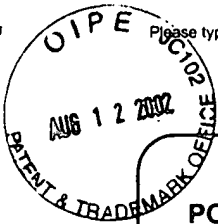


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AUTHORIZATION OF AGENT**

Application Number	10/057,826
Filing Date	01/24/02
First Named Inventor	Charles E. Tucker
Group Art Unit	1621
Examiner Name	Unassigned
Attorney Docket Number	021153-001400US

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☐ Applicant/Inventor.

☒ Assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership is 50%. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

**SIGNATURE of Applicant or Assign**

Name	William P. Bivins, V.P. & Sec. DSM Catalytic Pharmaceuticals, Inc.
Signature	<i>[Handwritten Signature]</i>
Date	5/1/02

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of 1 form is submitted.

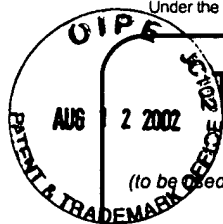
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TRANSMITTAL  
FORM

(to be used for all correspondence after initial filing)

Application Number	10/057,826
Filing Date	January 24, 2002
First Named Inventor	Tucker, Charles E.
Group Art Unit	1621
Examiner Name	Unknown
Attorney Docket Number	021153-001400US

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Total Number of Pages in This Submission

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## ENCLOSURES (check all that apply)

☐ Fee Transmittal Form☐ Fee Attached☐ Amendment / Response☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/  
Incomplete Application☐ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53☒ Assignment Papers (+ Coversheet)  
(for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition Routing Slip (PTO/SB/69)  
and Accompanying Petition☐ Petition to Convert to a  
Provisional Application☒ Power of Attorney or Authorization of  
Agent☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s)☐ After Allowance Communication to  
Group☐ Appeal Communication to Board of  
Appeals and Interferences☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s)  
(please identify below):Return Postcard;  
Statement Under 37 CFR 3.73(b)

Remarks

The Commissioner is authorized to charge any additional fees to  
Deposit Account 20-1430.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
and  
Individual name

Townsend and Townsend and Crew LLP

William B. Kezer

Reg. No. 37,369

Signature

Date

August 6, 2002

## CERTIFICATE OF MAILING

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August 6, 2002

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Gina Marie Zogas

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Attorney Docket No. 021153-001400US

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Charles E. Tucker and Qiongzong Jiang

Application No./Patent No.: 10/057,826

Filed/Issue Date: January 24, 2002

Entitled: PROCESS FOR THE PREPARATION OF NONRACEMIC SYN-1-(4-HYDROXY-PHENYL)-2-(4-HYDROXY-4-PHENYL-PIPERIDIN-1YL)-1-PROPANOL COMPOUNDS

DSM Catalytica Pharmaceuticals, Inc.

Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☒ an assignee of an undivided part interest.

The extent (by percentage) of its ownership is 50%

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Charles E. Tucker and Qiongzong Jiang To: DSM Catalytica Pharmaceuticals, Inc.  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: DSM Catalytica Pharmaceuticals, Inc. To: Pfizer, Inc. (50%) retaining (50%).  
The document was recorded in the United States Patent and Trademark Office at  
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3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

4/26/02

Date

William P. Bivins

Signature

William P. Bivins

Typed or printed name

V.P., Corporate Legal Affairs

Title

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SEP 16 2002

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